
Complaints Policy

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Overview

As a Trust, Diocese of Hereford Multi-Academy Trust ("Trust), and the schools within the Trust, are committed to remedying situations when a member of the school community has a concern or complaint. The aim of this policy is to assist everyone in reaching an agreement on how to move forward, though we also acknowledge that this is always not possible. There are four main stages to this policy, though we would always want concerns to be addressed before this policy is ever invoked. We will give a very clear steer as to whether a complaint is actually a concern, that should be dealt with as such.

We will always have, as our starting point, that all complaints are dealt with at an informal stage, or Stage 1, unless, in our opinion, it is not appropriate; even when a parent's or carer's concern or complaint is in relation to the Headteacher, a conversation with the Headteacher will be the initial stage.

Obviously, this stage does not preclude a complainant from escalating a complaint to Stages 2 to 4, but we do wish to make it clear that this approach is designed to resolve concerns quickly for the benefit of all.

1.0 Concerns and Complaints Procedure

1.1 Who can make a complaint?

This complaints procedure is not limited to parents or carers of children that are registered at a Trust school. Any person, including members of the public, may make a complaint to the school about any provision of facilities or services provided. Unless complaints are dealt with under separate statutory procedures (such as appeals relating to exclusions or admissions), the Trust and its schools will use this complaints procedure.

1.2 The difference between a concern and a complaint

1.2.1 A concern may be defined as '*an expression of worry or doubt over an issue considered to be important for which reassurances are sought*'.

1.2.2 A complaint may be defined as '*an expression of dissatisfaction however made, about actions taken or a lack of action*'.

1.2.3 It is in everyone's interest that concerns and complaints are resolved at the earliest possible stage. Many issues can be resolved

informally, without the need to use the formal stages of the complaint's procedure; this informal stage will be referred to as Stage 1. The school takes concerns seriously and will make every effort to resolve the matter as quickly as possible. Those raising a concern, or complaint, should realise that the relevant staff will intend to resolve the issue without having to move to the formal Stage 2 and Stage 3 level.

1.2.4 If you have difficulty discussing a concern with a particular member of staff, we will respect your views. In these cases, the Headteacher will refer you to another staff member. Similarly, if the member of staff directly involved feels unable to deal with a concern, the Headteacher will refer you to another staff member. The member of staff may be more senior but does not have to be. The ability to consider the concern objectively and impartially is more important.

1.2.5 We understand, however, that there are occasions when people would like to raise their concerns formally. In this case, the school will attempt to resolve the issue internally, through the stages outlined within this complaint's procedure.

1.3 How to raise a concern or make a complaint

1.3.1 A concern or complaint can be made in person, in writing or by telephone. They may also be made by a third party acting on behalf of a complainant, as long as they have appropriate consent to do so.

1.3.2 If we receive a complaint from a third party acting on behalf of another person, we must verify that the third party is authorised to act on the other person's behalf. The form of evidence we need depends on the circumstances, but examples include:

- An appropriate power of attorney; or
- A signed letter of authority from the person they are acting on behalf of

If we have no evidence that a third party is authorised to act on someone's behalf, we will not investigate the complaint until we receive the appropriate authority.

1.3.3 Appendix A gives an overview of the complaints process.

1.4 Identifying the type of complaint

- 1.4.1** If we are not sure whether someone is making a data protection complaint (see section 6.5) or a general complaint, we will ask them to clarify so we can ensure we are following the right procedures, as the timeframes may differ.

2.0 Stage 1

- 2.1** Concerns should be raised with either the Class Teacher, Head of Department, Head of Year or Key Stage, member of the Senior Leadership Team or Headteacher. We encourage people with concerns to use the structure at the appropriate level, and the Headteacher may, in the initial stages, direct those with a concern to contact those staff who have responsibility for the area of concern. If the issue remains unresolved, the Headteacher would then try to resolve the issue informally. This process will be entitled "Stage 1". However, if the Headteacher cannot resolve this issue via discussion, mediation and/or action, the next step is to make a formal complaint and move to Stage 2.
- 2.2** Complainants should not approach individual Local Governing Board (LGB) members to raise concerns or complaints. They have no power to act on an individual basis, and it may also prevent them from considering complaints at Stage 3 of the procedure.
- 2.3** Complaints against school staff (except the Headteacher) should be made in the first instance, to the Headteacher, via the school office; please mark them as Private and Confidential.
- 2.4** Complaints that involve, or are about, the Headteacher should be addressed to the Governance and Compliance Officer, c/o Unit 11, The Business Quarter, Ludlow Eco Park, Ludlow, Shropshire, SY8 1FD, and marked "Private and Confidential" or emailed to complaints@dhmat.org.uk
- 2.5** Complaints about the Chair of the LGB, any individual member, or the whole LGB should be addressed to the Diocese of Hereford Multi-Academy Trust, at the Trust's offices, Unit 11, The Business Quarter, Ludlow Eco Park, Ludlow, Shropshire SY8 1FD or emailed to complaints@dhmat.org.uk. Please mark them as Private and Confidential.
- 2.6** For ease of use, a template complaint form is included at the end of this procedure. If you require help in completing the form, please contact the school office. You can also ask third party organisations like the Citizens Advice to help you.

- 2.7** In accordance with equality law, we will consider making reasonable adjustments if required, to enable complainants to access and complete this complaints procedure. For instance, providing information in alternative formats, assisting complainants in raising a formal complaint or holding meetings in accessible locations.

3.0 Anonymous complaints

- 3.1** We will not normally investigate anonymous complaints. However, the Headteacher or Chair of the LGB, if appropriate, will determine whether the complaint warrants an investigation.

4.0 Timescales

- 4.1** You must raise the complaint within **three months** of the incident or, where a series of associated incidents have occurred, within three months of the last of these incidents. We will consider complaints made outside of this time frame if exceptional circumstances apply.
- 4.2** Please note that data protection complaints are subject to different timeframes (see section 6.5).

5.0 Complaints received outside of term-time

- 5.1** We will consider complaints made outside of term time to have been received on the first school day after the holiday period. School days are considered to be when the school is open to pupils/students.

6.0 Scope of this Complaints Procedure

- 6.1** This procedure covers all complaints about any provision of community facilities or services by the school other than complaints that are dealt with under other statutory procedures, including those listed below.

Exceptions	Who to contact
- Admissions to schools - Statutory assessments of Special Educational Needs - School re-organisation proposals	Concerns about admissions, statutory assessments of Special Educational Needs, or school re-organisation proposals should be raised with the local authority

Exceptions	Who to contact
- Matters likely to require a Child Protection Investigation	Complaints about child protection matters are handled under our child protection and safeguarding policy and in accordance with relevant statutory guidance (Keeping Children Safe in Education). If you have serious concerns, you may wish to contact the local authority designated officer (LADO) who has local responsibility for safeguarding or the Multi-Agency Safeguarding Hub (MASH)
- Exclusion of children from school*	Further information about raising concerns about exclusion can be found at: www.gov.uk/school-discipline-exclusions/exclusions. *Complaints about the application of the behaviour policy can be made through the school's complaints procedure.
- Whistleblowing	We have an internal whistleblowing procedure for all our employees, including temporary staff and contractors. The Secretary of State for Education is the prescribed person for matters relating to education for whistleblowers in education who do not want to raise matters direct with their employer. Referrals can be made at: www.education.gov.uk/contactus. Volunteer staff who have concerns about our school should complain through the school's complaints procedure. You may also be able to complain direct to the LA or the Department for Education (see link above), depending on the substance of your complaint.
- Staff grievances	Complaints from staff will be dealt with under the school's internal grievance procedures.

Exceptions	Who to contact
- Staff conduct	Complaints about staff will be dealt with under the school's internal disciplinary procedures, if appropriate. Complainants will not be informed of any disciplinary action taken against a staff member as a result of a complaint. However, the complainant will be notified that the matter is being addressed.
- Complaints about services provided by other providers who may use school premises or facilities	Providers should have their own complaints procedure to deal with complaints about service. Please contact them direct.
- National Curriculum - content	Please contact the Department for Education at: www.education.gov.uk/contactus
- Data protection complaints	See section 6.5 below

6.2 If other bodies are investigating aspects of the complaint, for example the police, local authority (LA) safeguarding teams or Tribunals, this may impact on our ability to adhere to the timescales within this procedure or result in the procedure being suspended until those public bodies have completed their investigations.

6.3 If a complainant commences legal action against the school in relation to their complaint, we will consider whether to suspend the complaints procedure in relation to their complaint until those legal proceedings have concluded.

6.4 Complaints about child-on-child sexual violence and sexual harassment

6.4.1 We take all complaints about child-on-child sexual violence and sexual harassment seriously. These complaints will be handled in accordance with our child protection and safeguarding policy and Keeping Children Safe in Education.

- 6.4.2** Sexual violence means rape, assault by penetration, or sexual assault (intentional sexual touching).
- 6.4.3** Sexual harassment means unwanted conduct of a sexual nature – such as sexual comments, sexual jokes or taunting, physical behaviour like interfering with clothes, or online harassment including the making or sharing of nudes or semi-nudes (also known as sexting or youth produced sexual imagery).
- 6.4.4** If you have concerns about child-on-child sexual violence or sexual harassment, please contact the Designated Safeguarding Lead immediately.

6.5 Data Protection Complaints

- 6.5.1** People can complain to us if they believe we haven't correctly followed data protection legislation (the Data Protection Act 2018, UK GDPR, and the Data (Use and Access) Act 2025) in the way we've handled their personal information (or the personal information of someone they're acting on behalf of).
- 6.5.2** Examples of data protection complaints include:
- The way we've responded to a subject access request (SAR)
 - The security measures we've used to protect personal information
 - How we've collected or used personal information
- 6.5.3** To complain, people don't have to use legal terms or quote sections of the legislation. If we're not sure if someone is making a data protection complaint, we will ask them to clarify.
- 6.5.4** Data protection complaints can be made via:
- Email to: dpo@wearehy.com
 - Phone: 0161 5438884
 - Using our complaints form (section 13.0)
 - In writing to: Sandbrook House, Sandbrook Park, Rochdale, OL11 1RY

There is no obligation for complainants to use the means of contact we provide. People can complain in any way they choose, and we must accept the complaint.

6.5.5 We will:

- Acknowledge receipt of data protection complaints within **30 days** of receiving them
- Investigate the complaint without undue delay
- Keep the complainant informed of progress
- Inform the complainant of the outcome without undue delay

6.5.6 The 30 days start the day after we receive the complaint. If the last day to acknowledge the complaint falls on a weekend or public holiday, we have until the next working day to provide an acknowledgement.

6.5.7 If a data protection complaint is made as part of a wider complaint and we can provide an outcome to the data protection complaint sooner than the other issues; we must do this. Waiting to deal with all issues at once without justification could be considered an 'undue delay'.

6.5.8 Our obligation to investigate begins as soon as we receive the complaint, not after the 30-day acknowledgement period.

6.5.9 Information about how to make a data protection complaint is available in our privacy notices and on our website.

6.5.10 If you remain dissatisfied with our handling of your data protection complaint, you can contact the Information Commissioner's Office (ICO) at ico.org.uk or by calling 0303 123 1113.

6.6 Complaints from pupils about their data

6.6.1 Children have the same rights over their personal information as adults. However, we must assess the competence of the child to understand and exercise their rights.

6.6.2 If we receive data protection complaints from pupils, we will respond in plain, clear language they can understand.

6.7 Complaints from parents about their child's data

6.7.1 Under data protection law, parents don't have an automatic right to exercise the rights of their child. We will only allow parents to make a data protection complaint on behalf of their child if:

- The child authorises them to do so; or

- The child does not have sufficient understanding to exercise the rights themselves; or
- It is clear that this is in the best interests of the child

7.0 Resolving complaints

7.1 At each stage in the procedure, the school wants to resolve the complaint. If appropriate, we will acknowledge that the complaint is upheld in whole or in part. In addition, we may offer one or more of the following:

- An explanation
- An admission that the situation could have been handled differently or better
- An assurance that we will try to ensure the event complained of will not recur
- An explanation of the steps that have been or will be taken to help ensure that it will not happen again and an indication of the timescales within which any changes will be made
- An undertaking to review school policies in light of the complaint
- An apology

8.0 Withdrawal of a Complaint

8.1 If a complainant wants to withdraw their complaint, we will ask them to confirm this in writing.

9.0 Stage 2

9.1 Formal complaints must be made to the Headteacher (unless they are about the Headteacher), via the school office. This may be done in person, in writing (preferably on the Complaint Form), or by telephone.

9.2 The Headteacher will record the date the complaint is received and will acknowledge receipt of the complaint in writing (either by letter or email) within **five** school days.

9.3 Within this response, the Headteacher will seek to clarify the nature of the complaint, ask what remains unresolved and what outcome the complainant would like to see (**this is the point of received clarification**). The Headteacher can consider whether a face-to-face meeting is the most appropriate way of doing this.

Note: The Headteacher may delegate the investigation to another member of the school's senior leadership team but not the decision to be taken.

- 9.4** During the investigation, the Headteacher (or investigator) will:
- If necessary, interview those involved in the matter and/or those complained of, allowing them to be accompanied if they wish
 - Keep a written record of any meetings/interviews in relation to their investigation
- 9.5** At the conclusion of their investigation, the Headteacher will provide a formal written response within five school days **of the point of received clarification.**
- 9.6** If the Headteacher is unable to meet this deadline, they will provide the complainant with an update and revised response date.
- 9.7** The response will detail any actions taken to investigate the complaint and provide a full explanation of the decision made and the reason(s) for it. Where appropriate, it will include details of actions the school will take to resolve the complaint.
- 9.8** The Headteacher will advise the complainant of how to escalate their complaint should they remain dissatisfied with the outcome of Stage 2.
- 9.9** If the complaint is about the Headteacher, or a member of the LGB (including the Chair or Vice-Chair), a suitably skilled Local Governor will be appointed to complete all the actions at Stage 2.
- 9.10** Complaints about the Headteacher or member of the LGB must be made to the Governance and Compliance Officer, c/o Unit 11, The Business Quarter, Ludlow Eco Park, Ludlow, Shropshire, SY8 1FD, and marked "Private and Confidential" or emailed to complaints@dhmat.org.uk
- 9.11** If the complaint is:
- Jointly about the Chair and Vice Chair or
 - The entire LGB or
 - The majority of the LGB

then, Stage 2 will be considered by an independent investigator appointed by the Trust. At the conclusion of their investigation, the independent investigator will provide a formal written response.

10.0 Stage 3

- 10.1** If the complainant is dissatisfied with the outcome at Stage 2, and wishes to take the matter further, they can escalate the complaint to Stage 3 – a meeting with members of the LGB's Complaints Committee, which will be formed of two Local Governors, and a third panel member who is independent of the management and running of the school. This is the final stage of the school-based complaints procedure.
- 10.2** A request to escalate to Stage 3 must be made to the Clerk, via the school office, within five school days of receipt of the Stage 2 response.
- 10.3** The Clerk will record the date the complaint is received and acknowledge receipt of the complaint in writing (either by letter or email) within 5 school days.
- 10.4** Requests received outside of this time frame will only be considered if exceptional circumstances apply.
- 10.5** The Clerk will write to the complainant to inform them of the date of the meeting. They will aim to convene a meeting within 20 school days of receipt of the Stage 3 request. If this is not possible, the Clerk will provide an anticipated date and keep the complainant informed.
- 10.6** If the complainant rejects the offer of three proposed dates, without good reason, the Clerk will decide when to hold the meeting. It will then proceed in the complainant's absence on the basis of written submissions from both parties.
- 10.7** The Complaints Committee will be formed of two LGB members, and a third panel member who is independent of the management and running of the school; all will have no prior involvement or knowledge of the complaint. Prior to the meeting, they will decide amongst themselves who will act as the Chair of the Complaints Committee. If there are fewer than two Local Governors from the school available, the Clerk will source any additional, independent governors/Local Governors through another local school or through their LA's Governor Services team, in order to make up the committee. Alternatively, an entirely independent committee may be convened to hear the complaint at Stage 3.
- 10.8** The committee will decide whether to deal with the complaint by inviting parties to a meeting or through written representations, but in making their decision they will be sensitive to the complainant's needs. If the

committee feels that written representations are comprehensive, it may well proceed without inviting parties.

- 10.9** If the complainant is invited to attend the meeting, they may bring someone along to provide support. This can be a relative or friend. Generally, we do not encourage either party to bring legal representatives to the committee meeting. However, there may be occasions when legal representation is appropriate.
- 10.10** For instance, if a school employee is called as a witness in a complaint meeting, they may wish to be supported by union and/or legal representation.
- 10.11** The Clerk will provide procedural support to the Committee, to ensure that this policy is adhered to. The Clerk will also ensure that only the points raised within the complaint, and information pertinent to that complaint, are discussed. New information or complaints should not be discussed.
- 10.12** At the beginning of the meeting, the Clerk will ensure that the Committee are aware of the procedures and, ultimately, their duties in relation to the complaint.
- 10.13** If the complainant/s, and the party subject to the complaint, are invited to answer questions, this will follow the following format:
1. The Chair will ask the other committee members to outline any questions that they may have of either party; these will be recorded by the Clerk and the Chair.
 2. The complainant/s will then be invited to the room that houses the committee to receive questions.
 3. The Chair will put questions to the complainants and seek clarification regarding any issues that require such clarification; the complainants will then leave the meeting, being informed that they will be notified of the committee's decision, in writing, within five school days.
 4. The Chair will then clarify with the committee if there are any additional questions to be asked of the subject of the complaint.
 5. The Chair will then invite the subject of the complaint to the room; the Chair will put questions to the subject of the complaint and seek clarification regarding any issues that require such clarification; the subject of the complaint will then leave the meeting, being informed

that they will be notified of the committee's decision, in writing, within five school days.

6. The Chair will then ensure that the committee reaches a decision as to whether to uphold, partially uphold or dismiss the complaint; the Chair will then draft a very structured response, in conjunction with the Committee members and the Clerk.

Note: Complaints about staff conduct will not generally be handled under this complaint's procedure. Complainants will be advised that any staff conduct complaints will be considered under staff disciplinary procedures, if appropriate, but outcomes will not be shared with them.

- 10.14** Representatives from the media are not permitted to attend.
- 10.15** Recordings of conversations, either covert or otherwise, without the express permission of the member of staff, will NOT be allowed as part of this process, and can be viewed as being obtained illegally.
- 10.16** At least five school days before the meeting, the Clerk will:
- Confirm and notify the complainant of the date, time and venue of the meeting, ensuring that, **if** the complainant is invited, the dates are convenient to all parties and that the venue and proceedings are accessible
 - Request copies of any further written material to be submitted to the committee at least three school days before the meeting (as stated below, any new complaints will not be dealt with by the committee)
- 10.17** Any written material will be circulated to all parties at least three school days before the date of the meeting. Again, the committee will not accept, as evidence, recordings of conversations that were obtained covertly and without the informed consent of all parties being recorded (they may also invalidate future actions if accepted as representations).
- 10.18** The committee will also not review any new complaints at this stage or consider evidence unrelated to the initial complaint to be included. New complaints must be dealt with from Stage 1 of the procedure.
- 10.19** The meeting will be held in private. Electronic recordings of meetings or conversations are not normally permitted unless a complainant's own disability or special needs require it. Prior knowledge and consent of all parties attending must be sought before meetings or conversations take place. Consent will be recorded in any minutes taken.

10.20 The committee will consider the complaint, and all the evidence presented. The committee can:

- Uphold the complaint in whole or in part
- Dismiss the complaint in whole or in part

If the complaint is upheld in whole or in part, the committee will:

- Decide on the appropriate action to be taken to resolve the complaint
- Where appropriate, recommend changes to the school's systems or procedures to prevent similar issues in the future

10.21 The Chair of the committee will provide the complainant and the school with a full explanation of their decision and the reason(s) for it, in writing, within five school days.

10.22 The letter to the complainant will include details of how to contact the Department for Education (DfE) if they are dissatisfied with the way their complaint has been handled by the school.

10.23 If the complaint is:

- Jointly about the Chair and Vice Chair or
- The entire LGB or
- The majority of the LGB

Stage 3 will be heard by a committee of independent Governors/Local Governors.

10.24 The response will detail any actions taken to investigate the complaint and provide a full explanation of the decision made and the reason(s) for it. Where appropriate, it will include details of actions the school will take to resolve the complaint.

10.25 The response will also advise the complainant of how to escalate their complaint should they remain dissatisfied.

10.26 The panel must make the findings and recommendations available, where relevant, to the person complained about and make them available for inspection on the school premises by the proprietor and the Headteacher.

10.27 Schools will attempt to process complaints in line with all timescales; where there is a likelihood that timescales will not be met (due to

extenuating circumstances, availability of various parties, clarification issues etc) the school should communicate this with the complainants.

10.28 Recording

- 10.28.1** A written record will be kept of all complaints under this process, including data protection complaints.
- 10.28.2** The record will state whether each complaint was resolved following a formal procedure, or proceeded to a panel hearing, as well as outlining action taken by the school as a result of those complaints (regardless of whether they are upheld).
- 10.28.3** For each data protection complaint we receive, we will keep a record of:
- The date we received it
 - Our acknowledgement
 - Any relevant conversations and documents
 - The outcome of the complaint
 - Any actions we took as a result of our investigation
- 10.28.4** We will retain these records in line with our data retention schedule.
- 10.28.5** The school will ensure that correspondence, statements and records relating to individual complaints are kept confidential, except where the Secretary of State, or a body conducting an inspection under section 109 of the 2008 Act, requests access to them.

11.0 Stage 4

- 11.1** Should a complainant remain dissatisfied with the Stage 3 outcome; they may escalate the complaint to Stage 4 – *Management of Complaints to the Multi-Academy Trust*. The role of this committee would be to review the school's handling of the complaint and to evaluate whether the school followed the policy as reasonably and appropriately as it could; it may review a complaint if it feels the school has failed to comply with the duty imposed upon it by the Secretary of State, or, if the Trust feels that an independent investigation is merited.

- 11.2** The Policy Relating to Management of Complaints to the Multi-Academy Trust is available on the Trust's website (www.dhmat.org.uk).

12.0 Next Steps

- 12.1** If the complainant believes the school did not handle their complaint in accordance with the published complaints procedure, or they acted unlawfully or unreasonably in the exercise of their duties under education law, they can contact the Department for Education (DfE) after they have completed Stage 3.
- 12.2** The DfE will not normally reinvestigate the substance of complaints or overturn any decisions made by the Trust. They will consider whether the Trust has adhered to education legislation and any statutory policies with the complaint and whether they have followed Part 7 of the Education (Independent School Standards) Regulations 2014.
- 12.3** The DfE will only be able to consider your complaint if you can provide evidence that the school/Trust:
- Does not have a complaints procedure
 - Did not provide a copy of its complaints procedure when requested
 - Does not have a procedure that complies with statutory regulation
 - Has not followed its published complaints procedure
 - Has not allowed its complaints procedure to be completed
- 12.4** The DfE are not able to:
- Overturn the decision of the school board/Trust
 - Re-investigate your original complaint
 - Review the accuracy of minutes taken or documents provided
 - Order the school to pay you compensation
 - Direct the school to discipline/exclude pupils
 - Force the school to discipline/dismiss staff
 - Instruct the school to apologise to you

12.5 The complainant can refer their complaint to the DfE online at www.education.gov.uk/contactus, by telephone on: 0370 000 2288 or by writing to:

DFE Cheylesmore House
5 Quinton Road
Coventry
CV1 2WT

12.6 For data protection complaints, if you remain dissatisfied with our handling of your complaint after completing our internal complaints procedure, you can contact the Information Commissioner's Office (ICO) at ico.org.uk or by calling 0303 123 1113.

13.0 Complaint Form

Please complete and return to the Headteacher, Clerk to the LGB or the school office depending on the nature of the complaint, who will acknowledge receipt and explain what action will be taken.

Your name:
Pupil's name (if relevant):
Your relationship to the pupil (if relevant):
Address: Postcode: Day time telephone number: Evening telephone number: Email address:
Please tick the type of complaint: ☐ General complaint ☐ Data protection complaint
Please give details of your concern or complaint, including whether you have spoken to anybody at the school about it.
What actions do you feel might resolve the problem at this stage?
Are you attaching any paperwork? If so, please give details.
If you are making this complaint on behalf of another person, please provide evidence of your authority to act on their behalf (e.g., signed letter of authority, power of attorney):

Your name:
Signature:
Date:
Official use
Date acknowledgement sent:
By who:
Complaint referred to:
Date:

14.0 Roles and Responsibilities

14.1 Complainant

The complainant will receive a more effective response to the complaint if they:

- Explain the complaint in full as early as possible
- Co-operate with the school in seeking a solution to the complaint
- Respond promptly to requests for information or meetings or in agreeing the details of the complaint
- Ask for assistance as needed
- Treat all those involved in the complaint with respect
- Refrain from publicising the details of their complaint on social media and respect confidentiality

14.2 Investigator

The investigator's role is to establish the facts relevant to the complaint by:

- Providing a comprehensive, open, transparent and fair consideration of the complaint through:
 - Sensitive and thorough interviewing of the complainant to establish what has happened and who has been involved
 - Interviewing staff and children/young people and other people relevant to the complaint
 - Consideration of records and other relevant information
 - Analysing information
- Liaising with the complainant and the complaints co-ordinator as appropriate to clarify what the complainant feels would put things right

The investigator should:

- Conduct interviews with an open mind and be prepared to persist in the questioning

- Keep notes of interviews or arrange for an independent note taker to record minutes of the meeting
- Ensure that any papers produced during the investigation are kept securely pending any appeal
- Be mindful of the timescales to respond
- Prepare a comprehensive report for the Headteacher or complaints committee that sets out the facts, identifies solutions and recommends courses of action to resolve problems

The Headteacher (Stage 2) or Complaints Committee (Stage 3) will then determine whether to uphold or dismiss the complaint, and communicate that decision to the complainant, providing the appropriate escalation details.

14.3 Complaints Co-ordinator

(This could be the Headteacher/designated complaints LGB member or other staff member providing administrative support)

The complaints co-ordinator should:

- Ensure that the complainant is fully updated at each stage of the procedure
- Liaise with staff members, Headteacher, Chair of the LGB, Clerk and LAs (if appropriate) to ensure the smooth running of the complaint's procedure
- Identify whether a complaint is a data protection complaint or a general complaint, and ensure it is routed appropriately
- Be aware of issues regarding:
 - Sharing third party information
 - Additional support. This may be needed by complainants when making a complaint including interpretation support or where the complainant is a child or young person
 - Verifying the authority of third parties making complaints on behalf of others
- Keep records

14.4 Clerk to the Local Governing Board

The Clerk is the contact point for the complainant and the committee and should:

- Ensure that all people involved in the complaint procedure are aware of their legal rights and duties

Complaints process

